

Advanced Syntax
lecture course
handout 4

The syntax of embedded clauses

1. Exceptional and small clauses

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| 1) <i>I think [(that) she loves chocolate]</i>
<i>I believe [(that) she loves chocolate]</i>
<i>I wonder [if she loves chocolate]</i> | EMBEDDED FINITE CLAUSES |
| 2) <i>I believe [her to love chocolate]</i>
<i>*I believe for her to love chocolate.</i> | EXCEPTIONAL CLAUSE |
| 3) <i>I consider [her intelligent]</i>
<i>*I consider for her intelligent.</i> | SMALL CLAUSE |

The subjects of the non-finite and verbless clauses have accusative case. Where does it come from? Infinitival *to* is not a case assigner, it is not even present in (3). When there is an accusative subject in an ordinary infinitival clause, there is also a prepositional complementiser present:

- 4) *For the horse to win the race would be a miracle.*

The verb *believe* can assign case (transitivity). **Exceptional Case-marking (ECM)**: the case-assigner is not within the clause. Accusative case is assigned through government (up to a certain point). CP is a barrier, but government is possible through IP, non-finite IP is not a barrier, since its head is not a case-assigner, case-assignment from the outside is possible to avoid a Case Filter violation, if the main verb (or the *C* head) is able to assign case (when *believe* is passivized, case is no longer available, as expected: *He was believed to be intelligent*). The non-finite (!!!) clause following *believe* is exceptional, not a CP, but an IP.

Small clauses: visible agreement in some languages (French), there is an IP/AgrP in the structure (real clause structure with a subject and a predicate), but no tense vP/TP.

2. Raising and Control

- 5) *Tim seems to be tall.*
Robin wants to be rich.
- 6) *It seems Tim is tall.*
**It wants Robin is rich.*
- 7) **Tim seems Tina to be tall.*
Robin wants Tina to be rich.

Extended Projection Principle (EPP): every clause must have a subject.

The subject of non-finite clauses: not pronounced but interpreted (subject theta-roles!).

Different types of unpronounced subjects in the sentences above:

- PRO: two independent theta-roles, two different DPs → **control:** *want, promise, ask*
- trace: one theta-role, one DP → **raising:** *seem, appear*, similar pattern with certain adjectives like *likely*

Locality conditions on movement:

- 8) *The builder seemed to be unlikely to be considered to be very skilled.*
**The builder seemed that the electrician believed to be incompetent.*

Movement goes step by step, from subject position to subject position in every clause.

Relativized Minimality (Rizzi, 1990): a moving element cannot move over the top of a like element.

PRO: only in the subject position of non-finite clauses. Cannot appear in positions with Nominative or Accusative Case. PRO needs Null Case. Clauses with PRO are CPs, they need a barrier from outside Case assignment. Object and subject control: *ask* vs. *promise*.

3. The Gerund

- 9) *The doctors were worried about [the patients's (obstinately) refusing the medicine]*
**[the refusing the medicine]*
[the refusing of the medicine]

- 10) *his refusing the medicine*
his having refused the medicine

Gerund: between nominal and verbal status. *-ing*: a nominalizing head, taking a VP/vP complement and turning it into a noun. It can enter into a structure at various points, but when it enters the structure directly above the VP, there will be no case-assigning light verb, so the insertion of *of* will be needed. When the agent appears, there is a vP, there is case as well. OK with a perfect vP as well.