Problems in Germanic Phonology II/Spr12/Starčević

PART 1

Verner's Law and Common Germanic

This exercise focuses on a major phonological regularity of early Common Germanic and its reflexes in West Germanic and Old English commonly referred to as Verner's Law, named after the 19th century Danish scholar who was keen enough to observe a regularity that went unnoticed in the formulation of Grimm's Law. The motivation behind the extension of Grimm's Law was that a number of phonological processes simply did not add up. The traditional formulation of the rule can be looked up in any handbook on Germanic linguistics. This strategy should best be avoided for at least two reasons: (i) this exercise tests your problem solving prowess and (ii) some conclusions of the ancients may be in need of revision at places.

Brief introduction: Grimm's Law predicts that IE *t, for example, comes down in Common Germanic as the voiceless fricative * θ . This is what the regularity principle of phonological change dictates: every *t in the appropriate phonological environment 'suffers' the same fate, i.e. spirantisation in our case. This is, by and large, borne out in Germanic. Yet, some of the data are problematic. The cheapest 'explanation' would be to claim that such forms are exceptions. Saying that they are counterexamples should best be avoided for at least two reasons: (i) some counterexamples are just 'too' regular (i.e. they occur at a frequency which must be due to something more than mere chance, probably an exception, i.e. an overseen regularity that runs after/before another regularity; recall: exceptions only strengthen a previous rule, here Grimm's Law) and (ii) counterexamples cannot be regularised and as such fall outside the scope of phonological explanation (they may be due to analogy, borrowing, etc.).

As a convenient point to start off the investigation of Verner's Law observe the following OE strong verb *weorpan* 'become' (the problematic consonants are highlighted):

INFINITIVE	PRET 1&3 SING	PRET PLURAL	PAST PPL
weor þ an	wear b	wur d on	(ġe)wor d en

The IE stem contained *t as witnessed by L *verto* 'I turn', Sanskrit *vártami*, Old Church Slavonic *vrŭtěti* 'he turns'. The first two forms in OE are accounted for by Grimm's Law in a regular way. The preterite plural and past participle, however, are more difficult to explain. These forms seem to contradict Grimm's Law. The rule is turned upside down: a voiceless stop in non-Germanic languages corresponds to a voiced stop in Germanic. We can assume that they either derive from IE *dh or *t was voiced to Common Germanic *d. These suppositions cannot be substantiated: (i) in IE the stem obviously contained *t and not *dh (as shown by the Latin/Sanskrit examples above) and (ii) there is no evidence that there ever existed an early Germanic rule that 'hardened' * θ into a stop (*t) and later voiced it to d. The explanation must lie somewhere else. The mystery was solved by Verner in 1875.

The remnants of the workings of this phonological regularity are best preserved in OE in the system of the strong verbs. Originally, in pre-Common Germanic and IE the regularity also worked in the nominal system but due to analogical levelling only one variant survived which was taken to be the basic form. Sometimes both variants survive and, accordingly, a new paradigm is built around them: e.g., OE $t\bar{e}n$ 'ten' (< *tehan) vs. -tig 'a ten' /tij/ (< * $/ti\chi$ /) (as in *fiftig* 'fifty', i.e. five tens). For starters, the showcase examples are taken from the class of strong verbs.

Common G (post-Grimm	ermanic 1, pre-Verner)	post-Verner	post-post-Verner ¹	OE	
(I to be a final second	, <u>r</u>	all forms are reconstructed		attested	
Present Ind	icative				
Singular					
1	wér þ ō	wér þ ō	wér þ ō	weorþe	
2	wŗ þ ísi	wŗðísi	<u>wúrðisi</u>	wierþst > wierst	
3	w ŗþíþ i	w ŗðíþ i	<u>wúrðiþi</u>	wierþþ > wierþ	
		(<u>stem</u> later replaced by wér þ-)			
Plural					
3	wŗ þ án þ i	wrðánþi	wúrðanþi	weorþaþ	
		(stem later replaced by wér þ-)			
Preterite In	dicative				
Singular					
1	wár þ a	wár þ a	wár þ a	wear þ	
2	wŗ þ ís	wŗðís	wúrðis	wur d e	
3	wár þ a	wár þ a	wár þ a	wearþ	
Plural					
				_	
3^2	wr þ ún þ	wŗðún þ	wúrðun þ	wur d on	
Past Participle					
	wŗ þ aná s	wrðaná s	wúrðanas	(ge)wor d en	

The following list contains the reconstructed pre-Common Germanic forms and endings in a strong verb (the forms already show Grimm's Law).

This pre-Common Germanic paradigm is reconstructed on the basis of attested Germanic and non-Germanic languages. Just for completeness' sake, compare the following data to the ones given above. The paradigm is the present indicative active one for the verb 'bear, carry' (IE **bher*-; recall: IE **bh** > L **f**). Hyphenation shows the division of the grammatical words into *stem - thematic vowel - inflectional* suffix (e.g., *fer-i-s*).

	Latin	Gothic	OE	Old Icelandic
	1. fer-ō	baír-a $<$ aí> = e	ber-e	ber
sg	2. fer-i-s	baír-i-s	bir-e-s	ber-r
	3. fer-i-t	baír-i-þ	bir-e-þ	ber-r
pl	 fer-i-mus fer-i-tis fer-u-nt 	baír-am baír-i-þ baír-a-nd	ber-aþ	ber-um ber-e-þ ber-a

¹ Some of the changes affecting Common Germanic (e.g. the breaking up of the syllabic sonorant into an \mathbf{u} + sonorant sequence vis-à-vis the voicing of fricatives, for example) are difficult to align temporarily with respect to each other because they seem to be unordered (i.e. none feeds or bleeds another rule). Assume that the above forms represent a logically possible snapshot of this reconstructed language.

² This ending was generalised to 1-2 persons plural in Ingvaeonic (i.e. Old Saxon, Old Frisian and Old English).

To make matters simpler, compare the various Common Germanic and OE forms below and see how they fit into this general pattern (only relevant portions are shown). All these examples show strong verbs of various classes (there were altogether 7 such classes in Germanic).

Data A

'turn; become'	INF	PRET SG	PRET PL	PAST PPL
pre-Verner	wérþan-	wárþa	wŗþúnþ	wŗþanás
post-Verner	weorþan	wárþa	wrðúnþ	wrðanás
post-post-Verner		wárþa	wúrðunþ	wúrðanas
OE		wearþ	wurdon	(ge)worden
OE pronunciation		wæarθ	wurdon	wordən
'choose'	kéusan-	káusa	kusúnþ	kusanás
	kéusan-	káusa	kuzúnþ	kuzanás
	kéusan-	káusa	kúzunþ	kúzanas
	čēosan	čēas	curon	(ge)coren
OE pronunciation	t∫e:ozan	t∫æ:as	kuron	korən
'drive'	drí:van- ³	dráiva	drivúnþ	drivanás
	drí:van-	draiva	drivúnþ	drivanás
	drí:van-	dráiva	drívunþ	drívanas
OE pronunciation	drīfan	drāf	drifon	(ge)drifen
	dri : van	dra ːf	drivon	drivən
'cut'	sní:þan	snáiþa	sniþúnþ	sniþanás
	sní:þan	snáiþa	sniðúnþ	sniðanás
	sní:þan	snáiþa	sníðunþ	sníðanas
	snīþan	snāþ	snidon	sniden
OE pronunciation	-	sna:θ	snidon snidon	snidən
'draw'	téuxan-	táuxa	tuxúnþ	tuxanás
	téuxan-	táuxa	tuγúnþ	tuγanás
	téuxan-	táuxa	túγunþ	túγanas
	tēon	tēah	tugon	(ge)togen
OE pronunciation		tæːax	tuyon	toyən
'see'	séxan-	sáxa	sāxúnþ	sewanás
	séxan-	sáxa	sāγúnþ	sewanás
	séxan-	saxa	sáγunþ	séwanas
	sēon	seah	sāgon	(ge)sewen
OE pronunciation		sæax	særyon	toyən

(the IE stem is *sekw-, OE also has sāwon for sāgon/sēgon, and segen for sewen).

³ The labial fricative **v** in traditional books is sometimes shown as β . This has no particular relevance for this problem.

'help'	xélpan- xélpan- xélpan- helpan	xálpa xálpa xálpa healp/halp	xlpúnþ xlpúnþ xúlpunþ hulpon	xlpanás xlpanás xúlpanas (ge)holpen
OE pronunciation	helpan	hæalp	hulpon	holpən
'touch' OE pronunciation	xríːnan- xríːnan- xríːnan- hrīnan hrīːnan	xráina xráina xráina hrān hra:n	xrinúnþ xrinúnþ xrínunþ hrinon hrinon	xrinanás xrinanás xrínanas (ge)hrinen hrinən
'write' OE pronunciation	wréitan- wréitan- wréitan- wrītan wrī:tan	wráita wráita wráita wrāt wraːt	writúnþ writúnþ wrítunþ writon writon	writanás writanás wrítanas (ge)writen writən

There are a number of verbs in the strong class whose infinitive suffix has a different history than the one observed above. In their case, the suffix was *-*ján* (taken form the weak verbal class, originally a causative suffix):

<pre>'lift; cause to rise' (L capio 'I get' < IE *kap-; cf. MoG heben)</pre>	INF	PRET SG	PRET PL	PAST PL
pre-Verner	xəfján-	xṓfa	xəfúnþ	xəfanás
post-Verner	xəvján-	xṓfa	xəvúnþ	xəvanás
post-post-Verner	xávjan-	xṓfa	xávun-	xávanas
OE OE pronunciation	hebban hevvan	hốf ho:f	hafen havən	(ge)hæfen hævən

Questions on data:

1. After you have looked at the data above and not considering the data below, what is your conclusion on the phonological motivation of Verner's Law (disregard the OE data!): does it depend on segmental (quality/quantity of the neighbouring vowels) or suprasegmental features (light vs. heavy syllables, syllable structure, etc.)? Which consonants were affected (enumerate the members individually and then give the barest phonological minimum/natural class) and what happened to them?

- 2. Formulate Verner's Law in view of what you have found out. (This formulation may not coincide with what you can find in handbooks, but this is not the end of the story)
- 3. After this change had occurred, another change happened that disguised its operation: which change is this?
- 4. After Verner's Law and the subsequent phonological change described in Q3 had occurred, a profound distributional reorganisation took place in the phonological inventory of Common Germanic: some consonants became phonemes. Which consonants are we talking about and how was it possible for them to become phonemes?
- 5. Observe the following data too (the words in Common Germanic come from stem-stressed forms).

IE *wegh- > CGerm *wéyaz 'way',
IE *rudhro - > CGerm *rúðraz 'red' (as in ruddy cheeks)
IE *aŋghu- > CGerm *áŋyuz 'narrow' (MoG eng).

Would you like to modify your statement in Q4? Is this properly speaking a merger and if so what merged with what? In view of this will you have to modify your statement made in Q4 in connection with the phonemisation of certain consonants in post-Verner Common Germanic?