

1 Syntax as a system of rules

Foundations of Syntax

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Let us start at the very beginning

Syntax is something related to **Language**
What is **Language**?

Language

Language – a system of communication.

Language – a system of signs

A sign = signifier (shape) + signified (mental concept)
(Ferdinand de Saussure)

Signs = words, morphemes.

Signs and How to combine them

Language is not only **words**.

We need **rules** to combine them into larger units, such as phrases, clauses and complex sentences.

A clause – a single verb and its dependents.

- (1) a. John hugged Mary. – 1 clause, 1 sentence
- b. Bill said [that John hugged Mary].
 – 2 clauses, 1 sentence
- c. Bill asked/promised John [to hug Mary].
 – 2 clauses, 1 sentence

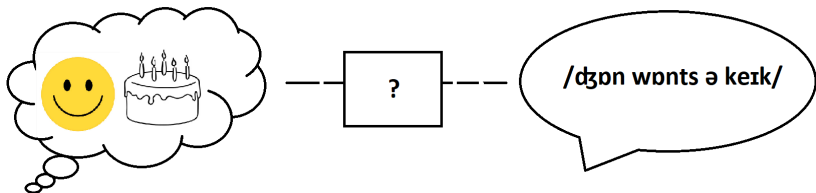
Signs and How to combine them

Random combinations will not work:

- (2) Mary with boy hit book →
- (3) a. Mary hit a boy with a book.
(2 interpretations)
- b. A boy with a book hit Mary.
- c. A boy hit Mary with a book.

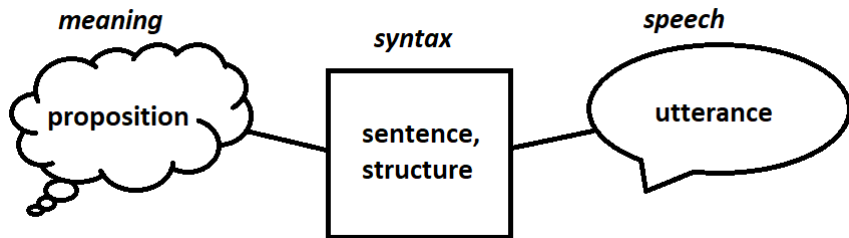
Syntax as a system of rules

Syntax relates form and meaning, speech and semantics.



Syntax as a system of rules

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Syntax as a system of rules

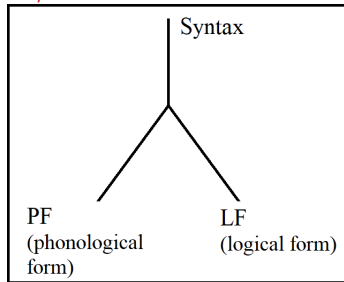
The derived syntactic structure is sent to the **interfaces**:

PF = Phonological Form

(Spell-Out: linearization, vocabulary insertion).

LF = Logical Form (interpretation).

Y/T-model:



Signs and How to combine them

Regularities:

- (4) a. look (PRESENT) + ed = looked (PAST)
- b. work (PRESENT) + ed = worked (PAST)
- c. jump (PRESENT) + ed = jumped (PAST)

→ Children overgeneralize. They recognize a rule and try to apply it without an exception, producing the forms *goed*, *comed*, *runed*

Signs and How to combine them

Syntax = a system of **rules**.

Question: Is syntax for human languages only?

Animal languages

Chimpanzees: different calls.



*Book: In the shadow of man, by Jane Goodall

Chimpanzees



Chimpanzees

- Hoo
- Waa
- Hoo + Hoo + ... + Hoo + Waa – a food source, another group, crossing a valley, etc.

Hoo face



Waa face



← A system of communication
but not a system of compositional rules.

Campbell's monkeys: a system of calls.

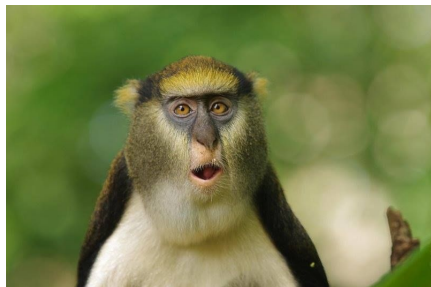


Figure: A Campbell's monkey impressed by syntax

*See work by Philippe Schlenker

Campbell's monkeys



Campbell's monkeys

Calls:

- **Hok** – an eagle
- **Boom** – a falling branch / group travel
- **Krak** – a leopard

Campbell's monkeys

- **Hok-oo** – something happens in the canopy
- **Krak-oo** – general alarm call

→ -oo = suffix, 'softens the meaning'

*These monkeys also have dialects! Check Professor Schlenker's website for more information: <online>

Campbell's monkeys

- Boom + boom + krak-oo + ... + krak-oo
 - falling trees or branches
- Boom + boom + krak-oo + hok-oo ...
 - a neighbouring group of Campbell's monkeys

Do they have Syntax?

Animal languages:

- (Almost) no compositionality
- No complexity
- Limited number of possible combinations

Human languages vs. Animal languages

Human languages:

- Compositionality:

$A + B = \text{meaning } A + \text{meaning } B$

John + walk + ed = John walk-ed

- Complexity

- Infinite number of possible combinations

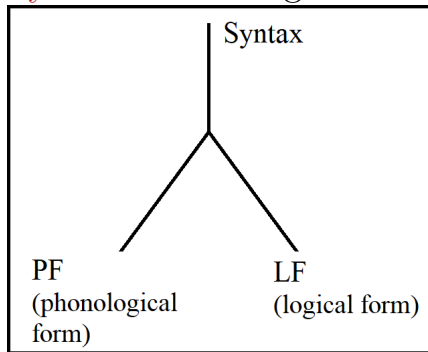
→ Humans can talk about various things, abstract notions, different time periods and places, possible worlds (for instance, think about the difference between **John eats meat./John can eat meat./John must eat meat**).

Interim summary

Language = signs + rules

Language = lexicon + syntax

Syntax: rules to generate all grammatical sentences.



Interim summary

Generative grammar – as linguists, we model the rules that allow us to build all grammatical sentences and that reject all ungrammatical sentences.

We come up with a group of rules (i.e. grammar) based on the actual language data (empirical observations).

Our rules are **hypotheses** – they must make predictions and be falsifiable. Our **theory** must be descriptively and explanatory adequate.

Exercise

Below you will find several Sanskrit verbs (a) and their translations into English, written in a different word order (b). Match the Sanskrit verbs with the correct translations.

- (5) a. nayasi, icchati, anayam, nayāmi,
icchasi, icchāmi, anayat
- b. I want, you lead, he wants, I lead,
I led, you want, he led

*The answer is on the next slide.

Exercise

- nay-as-i, a-nay-am, nay-ām-i, a-nay-at
- icch-at-i, icch-as-i, icch-ām-i
- you lead (PRES), I lead (PRES), I led (PAST), he led (PAST)
- I want (PRES), he wants (PRES), you want (PRES)

nay = drive, icch = want

a- PAST, -i PRESENT

-am- I, -as- YOU, -at- HE